

# An adaptive two-stage design for an early phase study of multiple biomarkers

Toby Prevost

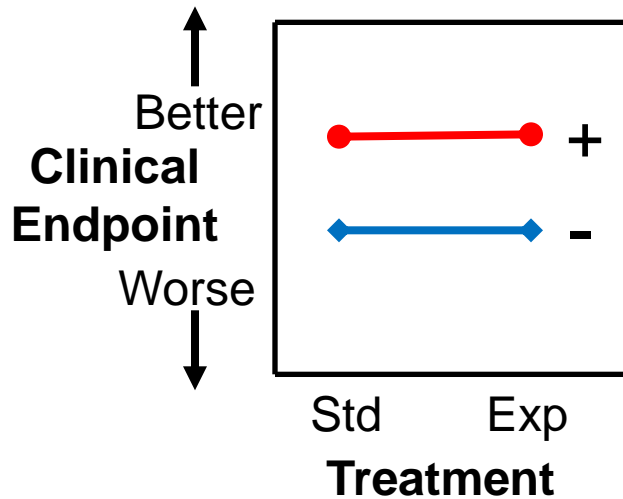
## Outline:

What are biomarkers --- which design when?

A two-stage study to inform a biomarker trial

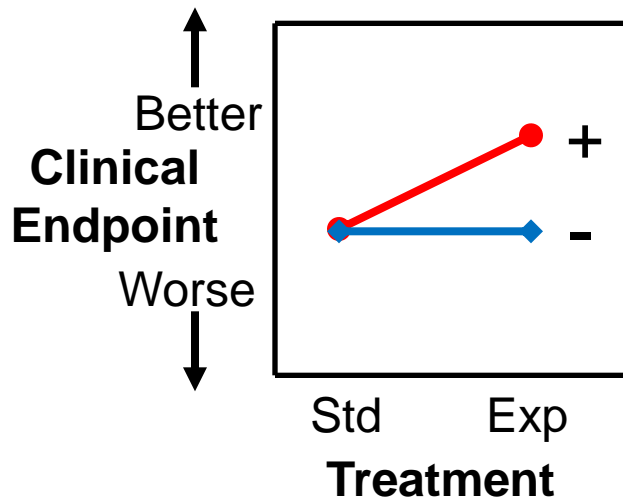
Considerations for primary care research

# Biomarkers – classifications and uses



## Prognostic:

- associated with **disease outcome**  
**(not specific to treatment)**
- risk assess (+,-) to stratify for any treatment



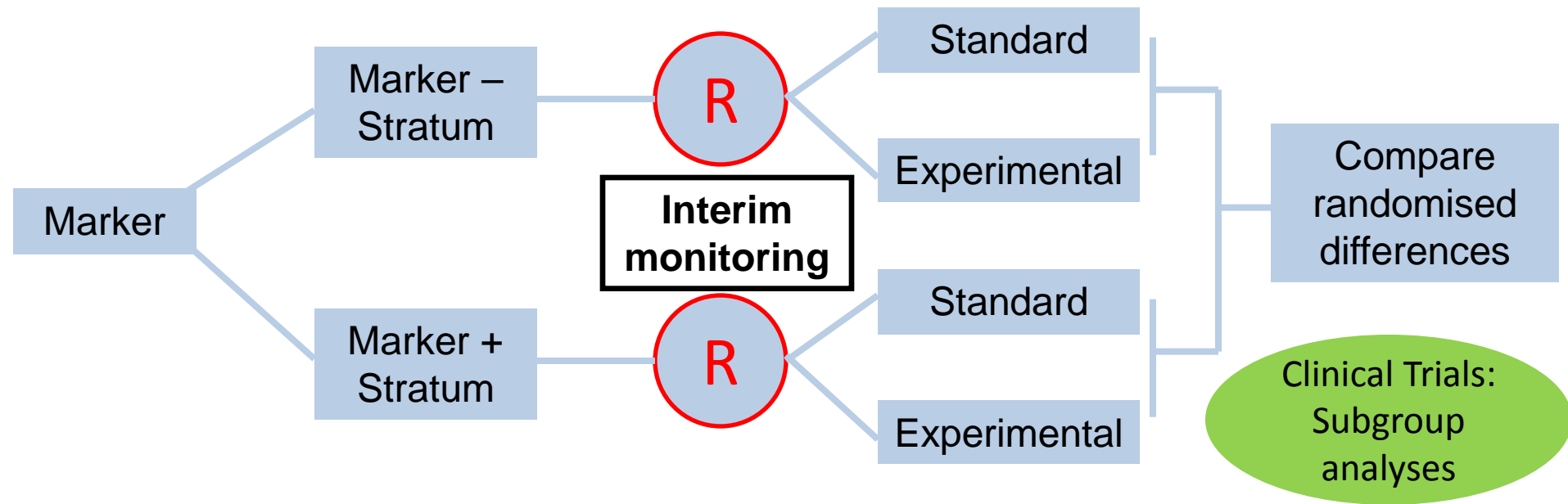
## Predictive:

- associated with **treatment response**
- M+ benefit from experimental tmt
- individualise therapy
- personalised medicine

Biomarkers – roles in trials of various designs...

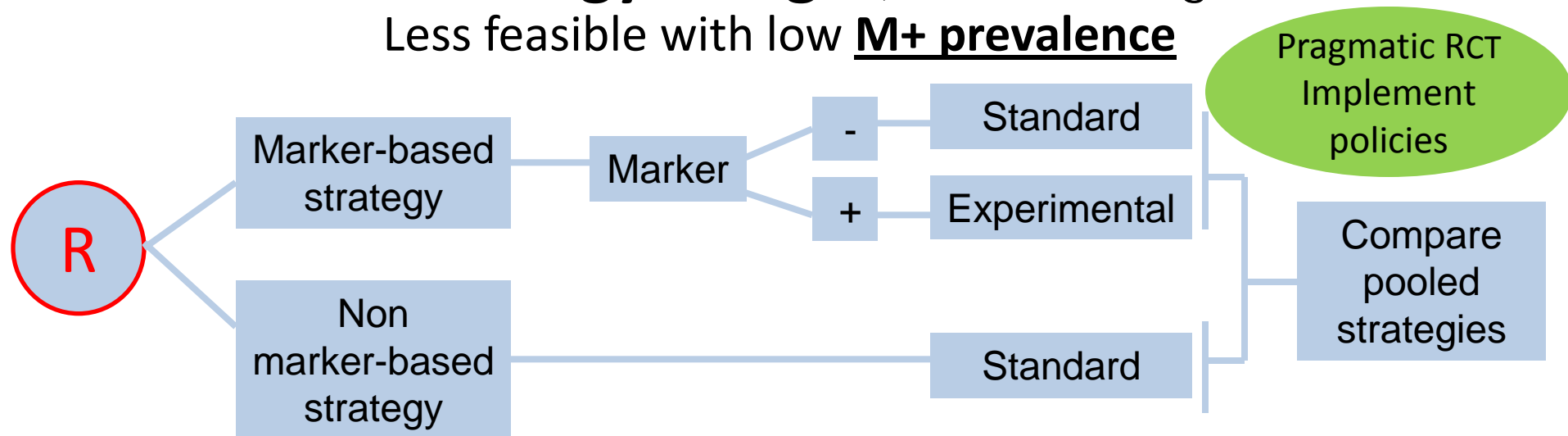
# Biomarker-Stratified Design (Full specification)

Recommended when preliminary evidence of effect is less robust



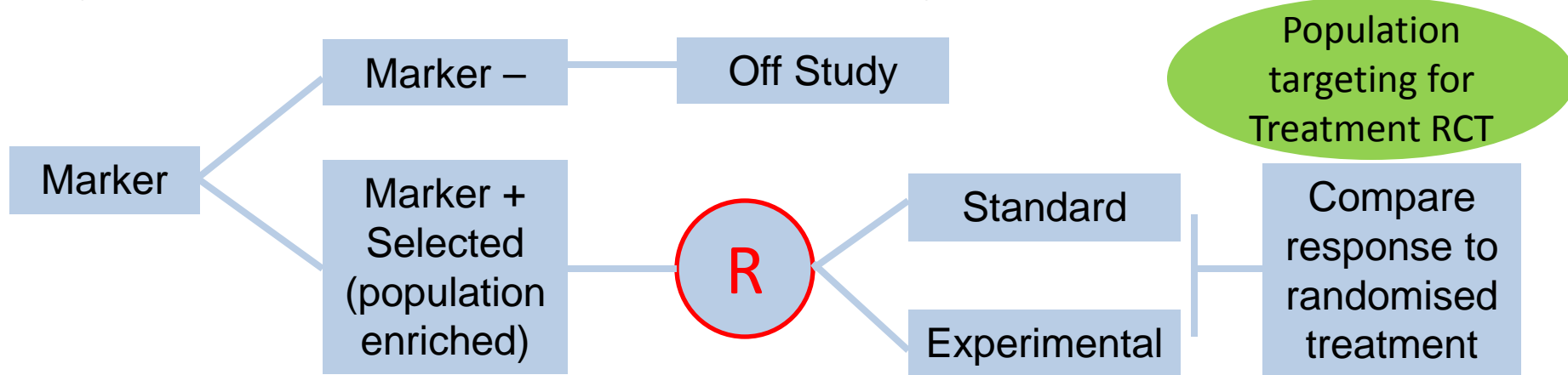
# Biomarker-Strategy Design ( " Use ' ignore " b

Less feasible with low M+ prevalence



# Enrichment Design (targeted/selected)

Requires evidence of lack of benefit of experimental treatment in M-



Choice of design depends on... evidence for a biomarker role...

**quality** (reproducibility, validation – relevant, robust, accurate)

**effect size** of marker-treatment relationship

**lack of benefit** in M-

**prevalence** of M+

**finding** those effective ones from multiple biomarkers

**practical limits** of sample size, cost, turnaround

Combining **scientific**, **clinical**, **statistical** and **ethical** considerations

Requires early phase studies to fill gaps and increase potential

# So what / References – biomarker trial design

Mandrekar SJ, Sargent DJ (2009) *J of Biopharmaceutical Statistics* 19:530-42  
Clinical Trial **Designs** for **Predictive** Biomarker **Validation**:  
One size does not fit all.

Mandrekar SJ, Sargent DJ (2009) *J Clin Oncol*. 27:4027–34  
Clinical trial designs for predictive **biomarker** validation:  
**theoretical** considerations and **practical** challenges.

Freidlin B, McShane LM, Korn EL (2010) *J Natl Cancer Inst* 102:152–60  
Randomized Clinical **Trials** With Biomarkers: **Design** Issues.

Buyse M (2007) *Eur J Cancer Suppl*. 5:89–95  
Towards validation of **statistically reliable** biomarkers.

Zhou X, Liu S, Kim ES, Herbst RS, Lee JJ (2008) *Clin Trials*. 5:181-93  
Bayesian **adaptive design** for **targeted therapy** development in  
lung cancer— a step toward **personalized medicine**.

# The "client", the talk

## Aim

Identify biomarkers specific to Psoriasis that predict response from treatment singly and in combination, sufficiently well to inform a larger scale trial and given scarce resources

## Basic design (Non-experimental)

Healthy controls + Controls with different skin condition  
Psoriasis patients on treatment  
Evaluation of biomarkers in all  
Evaluation of treatment response in Psoriasis patients

## 'Alternative' Hypotheses

Biomarker distribution differs between patients & controls.  
Response to treatment depends on biomarker.  
Multiple biomarkers may predict and may usefully combine.  
Useless biomarkers identifiable early in-study, saving resources

# Example 1 – Rheumatoid Arthritis Study

Davis JM et al. Journal of Immunology 2010;184:7297-304.

Early RA group (n=25) / controls (n=15)

- develop immune response score

But many variables / over-fitted model / abandoned methods

Need to improve **reproducibility** of score      **to increase sample size**

# Example 2 – Psoriasis proof of principle Study

Kagami S et al. Journal of Investigative Dermatology 2010;130:1373-83.

n=5 patients treated with infliximab      (treatment)

- decline in mean severity score      (response)

- decreases in Th17 / Th1 cells      (marker)

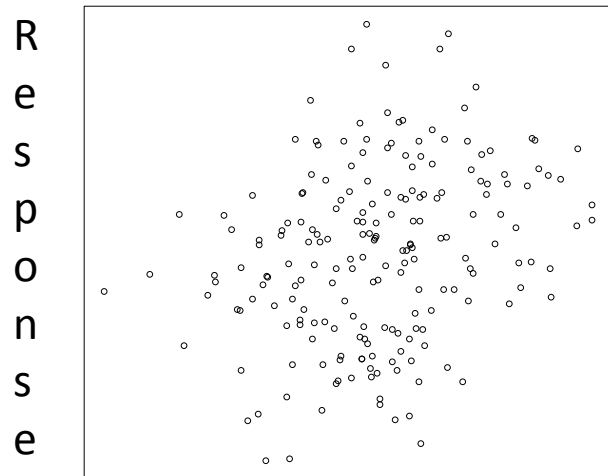
Assess patient-level *marker-response* in      **larger** sample

Consider      **control** treatment to establish marker specific to infliximab

# What effect size should be detectable?

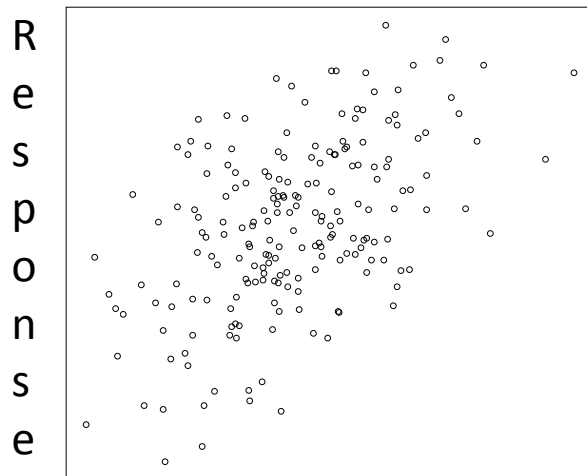
(variation in treatment response explained by biomarker)

R-squared 10%



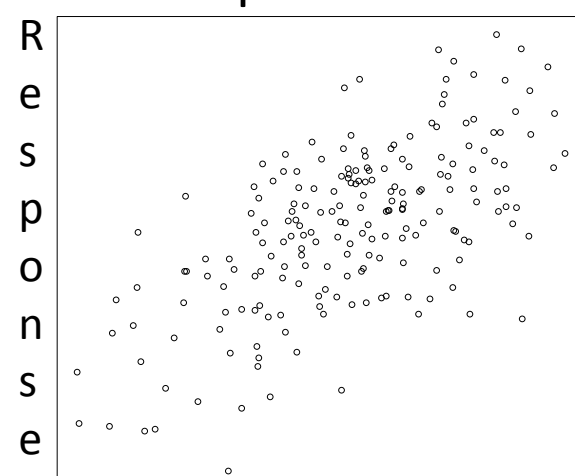
Marker

R-squared 20%



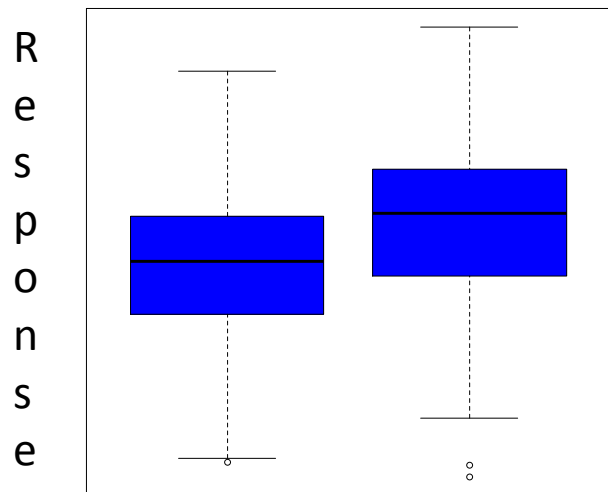
Marker

R-squared 40%



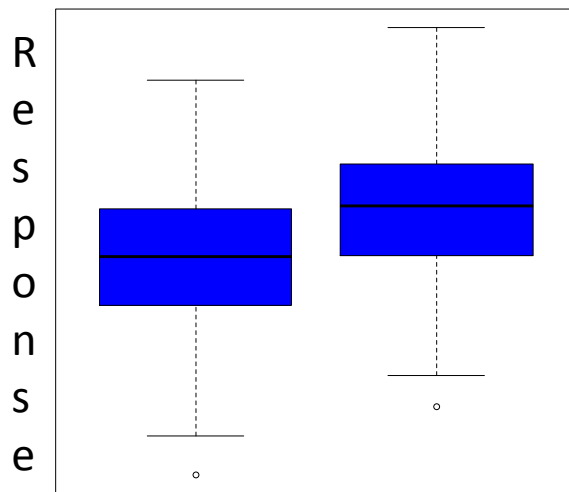
Marker

**Detect with n=49**



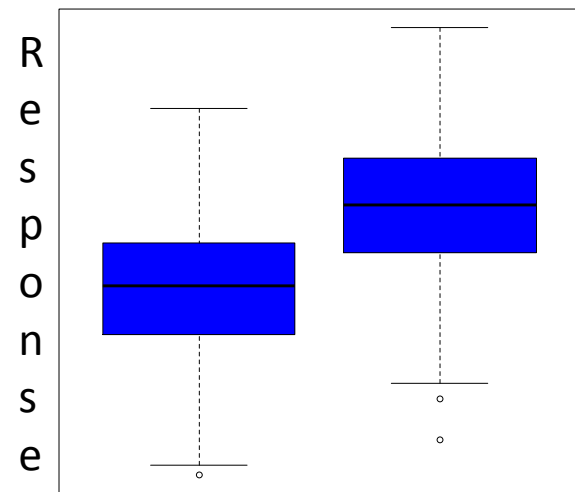
M -

M +



M -

M +

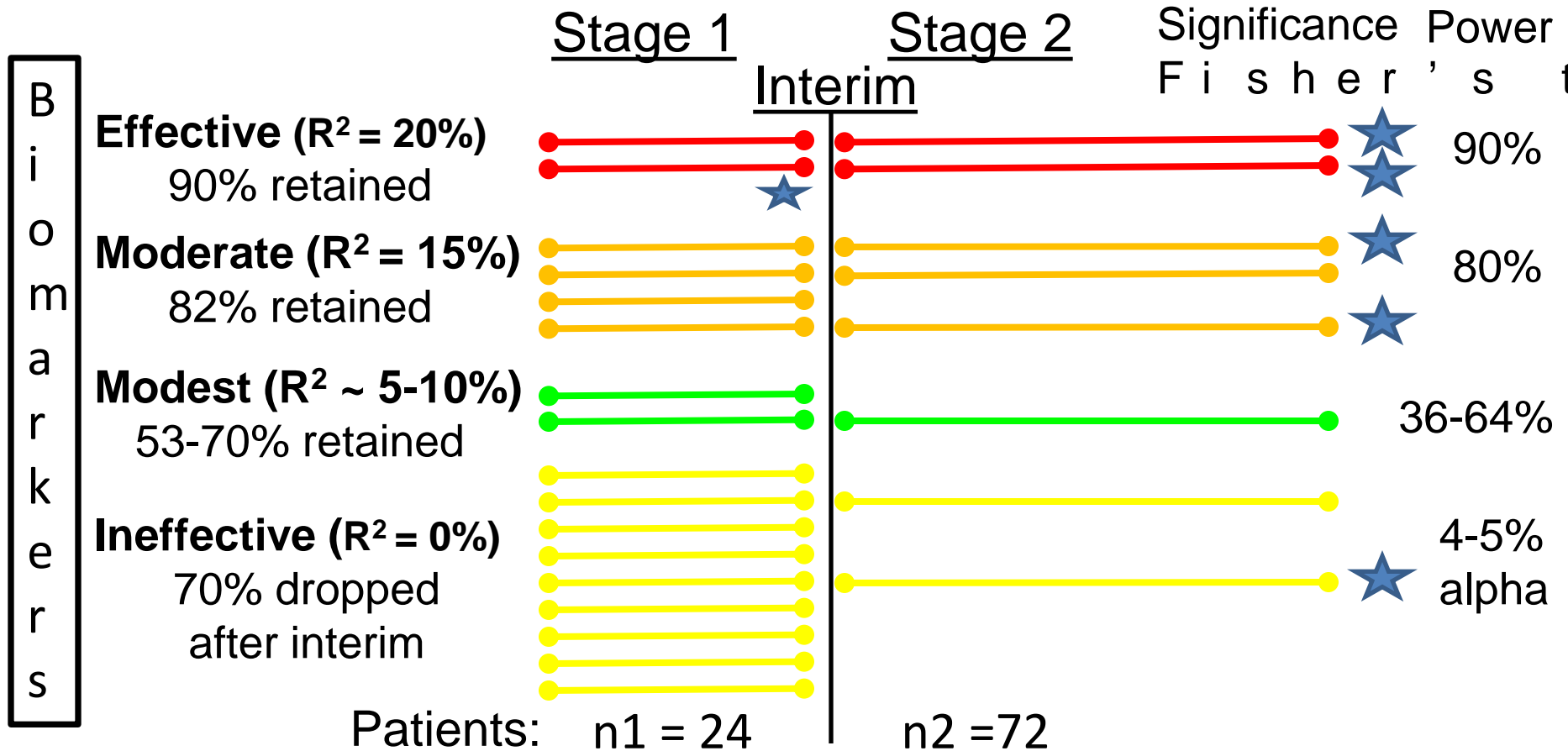


M -

M +



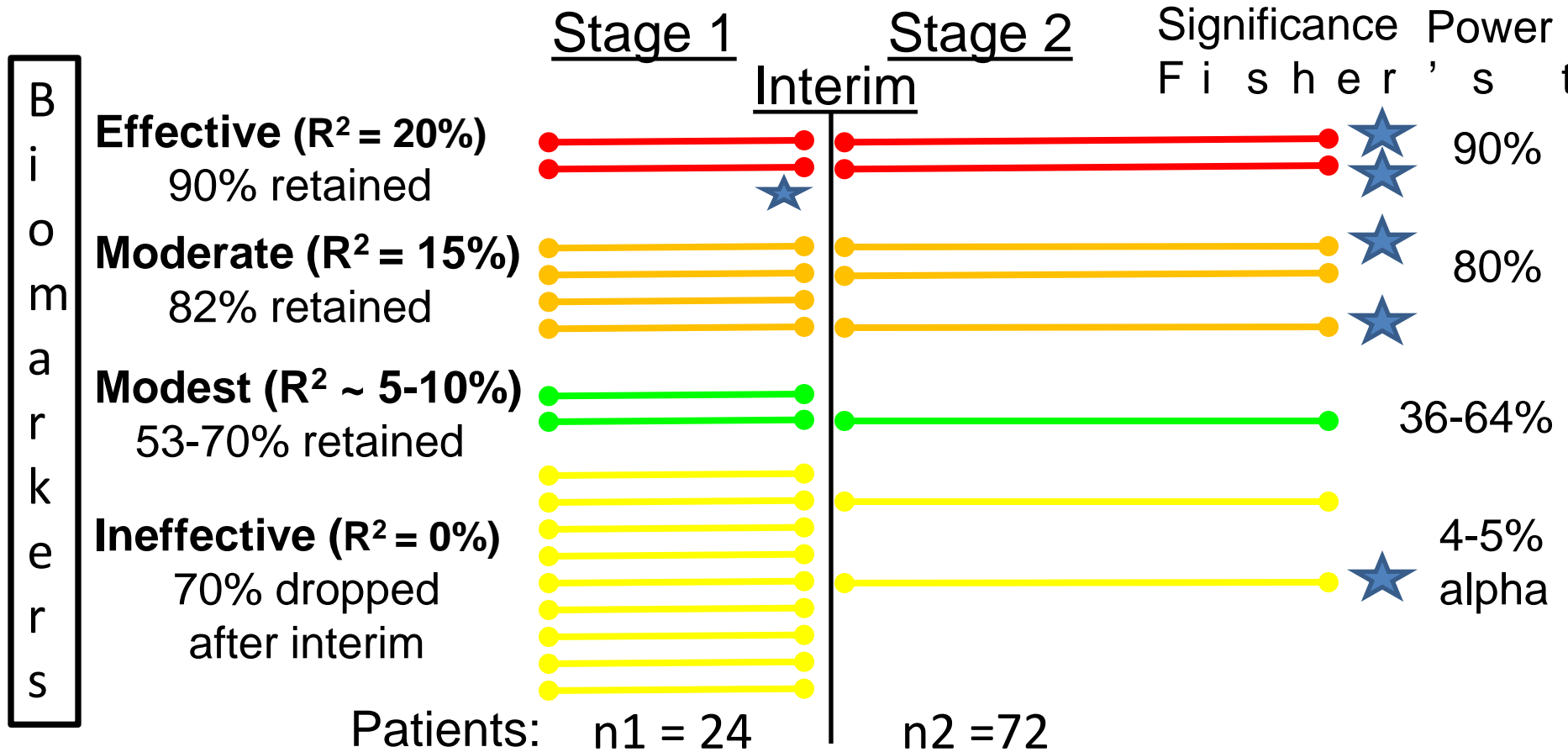
# Alternatively: a 2-stage adaptive interim design



**Bauer P, Kohne K Evaluation of experiments with adaptive interim analyses  
Biometrics 1994:50:1029-41**

- early interim stopping: marker futility ( $p > 0.3$ ; equivalent to  $r^2 < 5\%$ )
- additional "guarantee" - performers retain
- larger sample ( $n_2=72$ ) with focused biomarkers to develop combination
- research into unbiased estimation of correlations and combinations

# Alternatively: a 2-stage adaptive interim design



- power and alpha raised a little
- under H1 - 1.2% of tests produce opposite signed correlations  
reduces power by 0.4%
- under H0 – reduce Type 1 error rate by 2%  
if reject when signs of stage correlations are opposite

# Further work – Jack Bowden

- Extend unbiased estimation to correlation coefficient
- Compare strategies for developing biomarker combinations
- Incorporate biomarker cost

*Bowden J, Glimm E (2008)*

*Unbiased Estimation of Selected Treatment Means in Two-Stage Trials.  
Biometrical Journal 50:515–27*

*Posch M, et al. (2005) Testing and estimation in flexible group  
sequential designs with adaptive treatment selection.  
Statistics in Medicine 24:3697–3714*

# Comparison with a primary care study

Two 2-stage studies	<u>Clinical study</u> to promote <i>biomarkers</i>	<u>Primary care</u> validation of <i>questions</i>
Adapt by dropping	Biomarker(s)	Question(s)
Outcome	Continuous – response on treatment	Binary – ' i n h e r i t e d ' of conditions
Stage 1 patients	Quarter	Half
Interim rule	$P > 0.3$	$P > 0.15$
Power	90%	95%
Re-combine data?	Yes	No; conditional

1. How can two stages offer value in early/late phases?
2. Is it feasible/appropriate to re-combine stage data?

# Concluding points

## Biomarker trials

“ One size does not fit all ”  
Later design choice informed by role/characteristics of marker

## Early phase studies increase potential to learn

- which biomarkers / combination / prevalence
- treatment specificity / effect size / which later phase design

## Consider adaptive element

- **cost-saving** on markers
- **larger n & focus** 2<sup>nd</sup> stage efforts on promising biomarkers

## Plan design and analysis together

- to know the **effect size detectable**
- with sample size based on **power/ability to detect**
- analysis approach tested & tailored to objectives
- towards markers valid / reproducible /applicable for purpose

## Methodological research required